Shook Lin & Bok

Fintech

Overview

Our lawyers combine regulatory and transactional expertise to deliver cutting-edge solutions for the ever-changing financial landscape.

We advise on the full spectrum of regulatory and transactional matters across the financial services and technology ecosystem, from advisory and licensing matters under the Securities and Futures Act 2001, Financial Advisers Act 2001, Payment Services Act 2019 and Financial Services and Markets Act 2022 to structuring and launching tokenised fund issuances and other digital investment products harnessing blockchain/distributed ledger technology.

We act for a broad range of clients, from established financial institutions and digital banks to fintech start-ups and crypto-native ventures, delivering commercially grounded, practical advice that ensures compliance and scalability in a rapidly evolving regulatory landscape.

Our fintech services include:

- Regulatory advice and licence applications
- Structuring and advising on the issuance of tokenised investment products
- Legal documentation on various fundraising matters such as simple agreements for future tokens (SAFTs), offering documents
- Commercial agreements for technical services and other similar arrangements or services

Testimonial

The FinTech practice at Shook Lin & Bok assists venture capital funds and FinTech startups with fundraising rounds, as well as advising on product structuring and regulatory compliance matters. The firm's clients include major banks and other financial institutions, digital asset projects and payment service providers, among others.

- Chambers FinTech Guide 2025

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