

# ShookLin & Bok

## Financial Services Regulatory

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### Overview

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Singapore is one of the world's leading financial centres, with a fast-evolving regulatory landscape shaped by innovation, digitalisation, international standards and heightened supervisory expectations. Our Financial Services Regulatory Practice, anchored by a partner with substantial experience in the Monetary Authority of Singapore, as in-house compliance counsel and in legal practice, providing market-leading, commercially grounded advice to financial institutions navigating this complex environment.

Recognised for our deep understanding of financial regulation, we regularly advise domestic and international banks, payment service providers, capital markets intermediaries, insurers, FinTech and digital asset firms, fund managers, custodians and market infrastructures on the full spectrum of financial regulatory and compliance matters.

We assist clients in interpreting and complying with the regulatory framework under the Securities and Futures Act, Financial Advisers Act, Banking Act, Payment Services Act, Insurance Act, MAS notices and guidelines, and other sector-specific rules. Our lawyers provide clear and practical guidance on licensing and approvals, ongoing compliance obligations, business conduct requirements, outsourcing, technology and cybersecurity risk management, data protection, anti-money laundering and counter-terrorism financing (AML/CFT), cross-border marketing restrictions and governance expectations.

Our experience includes:

- Analysing business models and applying for appropriate licences, exemptions and approvals, including making representations to regulators on complex and/or grey issues
- Advising and developing compliance strategies, including risk management and internal compliance policies & manuals
- Conducting briefings, internal reviews and investigations
- Competition regulation
- Advice and representation for external and internal investigations for misconduct, fraud and governance

### Accolades

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#### *Legal 500 Asia Pacific*

- Ranked in Financial Services Regulatory (2022 – 2026) – Current Ranking: Tier 3

#### *Asialaw Profiles*

- Ranked in Financial Services Regulatory (2017, 2025) – Current Ranking: Tier 2
- Ranked in Financial Services Regulatory (2025) – Current Ranking: Tier 2

## Principal Contacts

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